

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 98-024-C1
In Re Application Of: VAN LUCHENE et al.			
Serial No. Not Yet Assigned	Filing Date August 19, 2003	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned
Title: METHOD AND APPARATUS FOR FACILITATING THE PLAY OF FRACTIONAL LOTTERY TICKETS UTILIZING POINT-OF-SALE TERMINALS			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>			
OR			
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>			

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**METHOD AND APPARATUS FOR FACILITATING THE PLAY OF FRACTIONAL LOTTERY
UTILIZING POINT-OF-SALE TERMINALS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 19, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Michael D. Brinton

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated: August 19, 2003

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CC:

INFORMATION DISCLOSURE CITATION				Docket Number 98-024-C1		Serial Number Not Yet Assigned	
				Applicants WALKER et al.			
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	A	3,573,747	04/06/71	Adams	340	172.5	
	B	4,108,361	08/22/78	Krause	235	375	
	C	4,323,770	04/06/82	Dieulot et al.	235	375	
	D	4,494,197	01/15/85	Troy et al.	364	412	
	E	4,500,880	02/19/85	Gomersall et al.	340	825.35	
	F	4,669,730	06/02/87	Small	273	138 A	
	G	4,677,553	06/30/87	Roberts et al.	364	412	
	H	4,689,742	08/25/87	Troy et al.	364	412	

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	Translation	
							Yes	No
	I	EP 0512413	11/11/92	Europe (Abstract)				
	J	JP 5242363 A	09/21/93	Japan (Abstract)				
	K	WO 97/35441	09/25/97	PCT				
	L	WO 97/46961	12/11/97	PCT				
	M	WO 97/50064	12/31/97	PCT				

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)		

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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRI ATE
	N	4,723,212	02/02/88	Mindrum et al.	364	401	
	O	4,760,247	07/26/88	Keane et al.	235	454	
	P	4,815,741	03/28/89	Small	273	138 A	
	Q	4,825,045	04/25/89	Humble	235	383	
	R	4,839,507	06/13/89	May	235	381	
	S	4,854,590	08/08/89	Jolliff et al.	273	138 A	
	T	4,859,838	08/22/89	Okiharu	235	383	
	U	4,882,473	11/21/89	Bergeron et al.	235	380	
	V	4,908,761	03/13/90	Tai	364	401	
	W	4,910,672	03/20/90	Off et al.	364	405	

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	X	4,922,522	05/01/90	Scanlon	379	95	
	Y	4,937,853	06/26/90	Brule et al.	379	96	
	Z	4,973,952	11/27/90	Malec et al.	340	825.350	
	AA	4,982,337	01/01/91	Burr et al.	364	479	
	BB	4,993,714	02/19/91	Golightly	273	138 A	
	CC	5,056,019	10/08/91	Schultz et al.	364	405	
	DD	5,083,784	01/28/92	Nilssen	273	138 R	
	EE	5,112,050	05/12/92	Koza et al.	273	139	
	FF	5,119,295	06/02/92	Kapur	364	412	
	GG	5,128,862	07/07/92	Mueller	364	405	
	HH	5,132,914	07/21/92	Cahlander et al.	364	478	

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	II	5,158,293	10/27/92	Mullins	273	139	
	JJ	5,172,328	12/15/92	Cahlander et al.	364	478	
	KK	5,173,851	12/22/92	Off et al.	364	401	
	LL	5,186,463	02/16/93	Marin et al.	273	138 R	
	MM	5,177,342	01/05/93	Adams	235	379	
	NN	5,192,854	03/9/93	Counts	235	375	
	OO	5,200,889	04/06/93	Mori	364	401	
	PP	5,201,010	04/06/93	Deaton et al.	382	7	
	QQ	5,216,595	06/01/93	Protheroe	364	412	
	RR	5,223,698	06/29/93	Kapur	235	375	
	SS	5,231,569	07/27/93	Myatt et al.	364	408	
	TT	5,239,165	08/24/93	Novak	235	375	

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	UU	5,243,515	09/07/93	Lee	364	401	
	VV	5,245,533	09/14/93	Marshall	364	401	
	WW	5,262,941	11/16/93	Saladin et al.	364	408	
	XX	5,274,547	12/28/93	Zoffel et al.	364	408	
	YY	5,276,312	01/04/94	McCarthy	235	380	
	ZZ	5,283,731	02/01/94	Lalonde et al.	364	401	
	AAA	5,287,268	02/15/94	McCarthy	364	405	
	BBB	5,297,031	03/22/94	Guttermann et al.	364	408	
	CCC	5,302,811	04/12/94	Fukatsu	235	381	
	DDD	5,309,355	05/03/94	Lockwood	364	401	
	EEE	5,353,218	10/4/94	De Lapa et al.	364	401	
	FFF	5,353,219	10/04/94	Mueller et al.	364	405	

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	GGG	5,380,991	01/10/95	Valencia et al.	235	383	
	HHH	Re. 34,915	04/25/95	Nichtberger et al.	364	401	
	III	5,417,424	05/23/95	Snowden et al.	273	138 A	
	JJJ	5,420,606	05/30/95	Begum et al.	345	156	
	KKK	5,459,306	10/17/95	Stein et al.	235	383	
	LLL	5,475,205	12/12/95	Behm et al.	235	375	
	MMM	5,481,094	01/02/96	Suda	235	383	
	NNN	5,504,475	04/02/96	Houdou et al.	340	825.35	
	OOO	5,505,449	04/09/96	Eberhardt et al.	463	29	
	PPP	5,510,979	04/23/96	Moderi et al.	364	405	
	QQQ	5,518,239	05/21/96	Johnston	273	139	
	RRR	5,548,110	08/20/96	Storch et al.	235	472	
	SSS	5,572,653	11/05/96	DeTemple et al.	395	501	

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	TTT	5,581,064	12/3/96	Riley et al.	235	383	
	UUU	5,592,375	01/07/97	Salmon et al.	395	207	
	VVV	5,592,376	01/07/97	Hodroff	395	214	
	WWW	5,602,377	02/11/97	Beller et al.	235	462	
	XXX	5,611,052	03/11/97	Dykstra et al.	395	238	
	YYY	5,612,868	03/18/97	Off et al.	364	214	
	ZZZ	5,613,679	03/25/97	Casa et al.	273	138.1	
	AAAA	5,615,269	03/25/97	Micali	380	49	
	BBBB	5,620,079	04/15/97	Molbak	194	217	
	CCCC	5,621,201	04/15/97	Langhans et al.	235	380	

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	DDDD	5,621,640	04/15/97	Burke	395	214	
	EEEE	5,632,010	05/20/97	Briechle et al.	345	1	
	FFFF	5,645,486	07/08/97	Nagao et al.	463	27	
	GGGG	5,664,115	09/02/97	Fraser	705	37	
	HHHH	5,665,953	09/09/97	Mazzamuto et al.	235	383	
	IIII	5,724,886	03/10/98	Ewald et al.	99	374	
	JJJJ	5,772,510	06/30/98	Roberts	463	17	
	KKKK	5,791,990	08/11/98	Schroeder et al.	463	17	

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	LLLL	Brochure: "Introducing the Digital MenuBoard", Siren Technologies, Inc., undated.	
	MMMM	"Cape Town", Reuters Ltd, November 8, 1979.	
	NNNN	"Save the Mark", Financial Times, February 1, 1983, Section I, Men & Matters, pg. 12.	
	OOOO	Cook, Louise, "Consumer Watch: Clip, Snip, Save", The Associated Press, March 12, 1984, Section: Business News.	
	PPPP	Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, December 23, 1985, Section: Vol. 35, pg. 6, ISSN: 0039-5803.	
	QQQQ	"POS spectrum: a lottery looks to POS for growth", POS News, January 1989, Section: Vol. 5, No. 7, pg. 8, ISSN: 0896-6230, CODEN: BHORAD.	
	RRRR	Kuttner, Robert, "Computers May Turn the World Into One Bit Commodities Pit", Business Week, September 11, 1989, Section: Economic Viewpoint; No. 3123, pg. 17.	
	SSSS	Schrage, Michael, "An Experiment in Economic Theory; Labs Testing Real Markets", The Record, November 26, 1989, Section: Business, pg. B01.	
	TTTT	"Let's Play the Cash Register Receipts Lottery", The New York Times, December 25, 1990, Section 1, pg. 30, Col. 4, Editorial Desk.	
	UUUU	Del Rosso, Laura, "Marketel says it plans to launch air fare 'auction' in June; Marketel International, Inc.", Travel Weekly, April 29, 1991, Section: Vol. 50, No. 34, pg. 1, ISSN: 0041-2082.	
	VVVV	"Philips offers customers financing through Citicorp; Philips Medical Systems North America, Citicorp North America Inc.", Health Industry Today, June 1991, Section: Vol. 54, No. 6, pg. 4, ISSN: 0745-4678.	
	WWWW	Pelline, Jeff, "Travelers Bidding On Airline Tickets SF firm offers chance for cut-rate fares", The San Francisco Chronicle, August 19, 1991, Section: News, pg. A4.	
	XXXX	Del Rosso, Laura, "Ticket-bidding firm closes its doors; Marketel International", Travel Weekly, March 12, 1992, Section: Vol. 51, No. 21, pg. 1, ISSN: 0041-2082.	
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	YYYY	Rossides, M., "Heads I win, Tails you lose: M. Rossides' application of fair gambling to grocery check-outs" June 13, 1992, Vol. 323, pg. 74.	
	ZZZZ	"Coupons get serious; supermarkets use barcodes to prevent misredemptions", Chain Store Age Executive with Shopping Center Age, October 1992, Section: Vol. 68, No. 10, pg. 68, ISSN: 0193-1199.	
	AAAAA	"Winn-Dixie/The Salvation Army Report Contributions For War Against Hunger", PR Newswire, June 10, 1993, Section: Financial News.	
	BBBBB	Jones, Jeanne, "Data Readers Streamline Management...", The Houston Post, June 26, 1994, Section: Business, pg. D1.	
	CCCCC	Fiorini, Phillip, "'No Place For Penny?' / The smallest coin doesn't make cents to some", USA Today, July 29, 1994, Section: News, pg. 1A.	
	DDDDD	Smith, Alison, "Survey of UK Consumer Credit and Asset Finance", Financial Times, November 3, 1994, pg. VI.	
	EEEEEE	Andreoli, Tom et al., "Cash Machines Offer A Whole Lotto Money...", Crain's Chicago Business, June 19, 1995, Section: News, pg. 8.	
	FFFFFF	"Spain: BBV launches new card", Cards International, June 22, 1995, pg. 5.	
	GGGGG	Knippenberg, Jim, "Will local radio empires strike back?", The Cincinnati Enquirer, July 23, 1995, Section: Tempo, pg. F01.	
	HHHHH	"Cyberbid", Copyright 1996, Net Fun Ltd.	
	IIIII	Hadley, Kimberly, "Pastors praying anti-arson effort will burn bias", The Nashville Banner, July 26, 1996, Section: News, pg. A13.	
	JJJJJ	Gapper, John, "NatWest reports rise in bad debt", Financial Times, July 31, 1996, Section: News, UK, pg. 09.	
	KKKKK	"LYNX TECHNOLOGY: Lynx to provide business leasing programme through Schroder Leasing", M2 Presswire, August 9, 1996.	
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	LLLLL	Taylor, Paul, "Towards a dream market", Financial Times, September 4, 1996, Section: Survey - FT IT, pg. 03.	
	MMMM M	Bonnici, Joseph et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Winter 1996/1997, Vol. 13, No. 1, pp. 31-40, ISSN: 0892-7626, CODEN: JPBEBK.	
	NNNNN	"Rehayem, Gilbert, "Opinion: X-Press Betting", La Fleur's Lottery World, February 7, 1997.	
	OOOOO	Singletary, Michelle, "Electronic World, Unchecked Problem?", The Washington Post, March 4, 1997, Section: Financial, pg. C01.	
	PPPPP	Kelsey, John et al., "Conditional Purchase Orders", Fourth ACM Conference on Computer Communication Security, April 1997, pp. 117-124.	
	QQQQQ	"Avco Financial Services.", (http //www homefurnish com/NHFA/avco htm), download date: May 23, 1997.	
	RRRRR	"Products and Services, Checkout Direct", Catalina Marketing Corporation, (http //catalinamktg com/procdcl htm), download date: May 29, 1997.	
	SSSSS	Riordan, Teresa, "Patents; A novel approach to making a better spermicide harks back to some old-fashioned methods.", The New York Times, June 9, 1997, Section D, pg. 2, Col. 1, Business/Financial Desk.	
	TTTTT	Naim, Geoff, "The key to your identity: Falling costs will allow fingerprint verification to be widely used", Financial Times (London), July 15, 1997, Section: Technology, pg. 12.	
	UUUUU	"How It All Works", The United Computer Exchange, (http //www uce com/howitworks html), download date: July 23, 1997.	
	VVVVV	"Classifieds2000: The Internet Classifieds", (http //www classifieds2000 com/cgi-cls/Display exe?C2K+aboutus), download date: August 6, 1997.	
	WWW W	"General trading information and terms provided by tradingfloor.com", Tradingfloor.com, (http //www tradingfloor com/info htm), download date: August 14, 1997.	
	XXXXX	"Nasdaq", (http //home oxford com/corfin/corfl1 htm), download date: August 15, 1997.	
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	YYYYYY	Prochaska-Cue, Kathy, "Acquiring Credit", (http://ianrwww.unl.edu/IANR/PUBS/NEBFACTS/NF91-2.HTM), download date: September 3, 1997.	
	ZZZZZ	Website: "IAO – Onsale – GSCI – Zauction – Cyberswap – Auction World", (http://www.iaoauction.com/about.htm), download date: September 8, 1997.	
	AAAAAA	Website: "Welcome to ONSALE", OnSale Inc., (http://www.onsale.com), download date: September 8, 1997.	
	BBBBBB	Kirk, Jim, "Digital promotions make quick point", Chicago Tribune, December 26, 1997.	
	CCCCCC	"From Our Family to Yours...5 Weeks of Coupon Values for a Valuable Customer!", Wakefern Food Corporation, Copyright 1998.	
	DDDDDD	Brochure: "It's in the Bag. Introducing the Universal Holding Cabinet from Welbilt.", Frymaster – A Welbilt Company, Copyright 1998 The Frymaster Corporation.	
	EEEEEE	"NCR 7453 PC-Based Point-of-Sale Solution", NCR Corporation, Copyright 1998.	
	FFFFFF	Brochure: "For the Crew & the Customer: The Best Drive-Thru & Grill Service", Olivetti North America, Winter 1998.	
	GGGGGG	Brochure: "Universal Holding Cabinet Rollout Program", H & K Dallas Inc., Winter 1998.	
	HHHHHH	"Progressive Introduces Kitchen Display System (KDS) For Restaurants", PR Newswire, January 23, 1998, Section: Financial News.	
	IIIIII	Blair, Adam, "JICC Coupon Guide Recommends Upgrades at Front End", Supermarket News, February 9, 1998, Section: pg. 23, ISSN: 0039-5803.	
	JJJJJJ	Livingston, Kimball, "In-Store Systems – VideOcart Redux", RT Magazine, March 1998, pp. 29-30.	
	KKKKKK	"Advanced Mechanics Internet Specials", Advanced Mechanics, (http://www.metroplexweb.com/advcpn2.htm), download date: March 12, 1998.	
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		Applicants WALKER et al.	
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OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>			
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EXAMINER		DATE CONSIDERED:	
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